



Attorney Docket No: 111325-020500
Application No. 09/777,845

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Mark J. STEFIK, *et al.*)
Application No. 09/777,845) Group Art Unit: 3628
Filed: February 7, 2001) Confirmation No. 3040
For: SYSTEM FOR CONTROLLING) Examiner: Frantzy Poinvil
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INFORMATION DISCLOSURE STATEMENT

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Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The undersigned certifies that each item of information contained in this information disclosure statement was first cited in a communication from a foreign associate in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement.

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It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner return an initial copy of this form to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380 (111325-020500).

Respectfully submitted,

NIXON PEABODY, LLP



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Registration No. 35,212

Date: 8-16-06

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Substitute for form 1449A/PTO				<i>Complete if Known</i>		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/777,845	
Sheet		of		Filing Date	February 7, 2001	
				First Named Inventor	Mark J. STEFIK, et al.	
				Art Unit	3628	
				Examiner Name	Frantzy Poinvil	
				Attorney Docket Number	111325-020500	
U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (<i>if known</i>)				
		US-				
		US-				
FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ Number ⁴	Kind Code ⁵ (<i>if known</i>)			
		JP 06-214862		08/05/1994	SATOMI et al.	English Abstract
		JP 05-298174		11/12/1993	NUKUI	English Abstract
		JP 06-230847		08/19/1994	MASUI	English Abstract
		JP 03-014109		01/22/1991	NARITA	English Abstract
		JP 06-318167		11/15/1994	TAKAHASI	English Abstract
		JP 06-103286		04/15/1994	HARTRICK et al.	English Abstract
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		Henry M. Levy, "Capability-Based Computer Systems", Digital Press, 1984, P1-18 USA				
		Kawahara Masaharu, "Consideration of a Method of Charging for Electronic Objects in Superdistribution", Technical Report of the Institute of Electronics, Information and Communication Engineers, EIC Institute of Electronics, Information and Communication Engineers, September 21, 1994, Shingaku Giho Vol. 94 No. 240, P17-24 Japan - English Translation				
		Ryoichi Mori et al., "The Inevitable Way Toward Superdistribution", Information Symposium Papers, Information Processing Society of Japan, February 17, 1994, Vol. 94, No. 1, P67-76 Japan				
		Shinichi Ueki et al., "The Accounting Process in Software Usage Monitor for Superdistribution", Information Processing Society of Japan Technical Report, 90-Is-27, January 16, 1990, Vol. 90, No. 1, P1-10 Japan English Translation				
		Naoya Torii et al., "System Architecture of Superdistribution", Technical Report of the Institute of Electronics, Information and Communication Engineers, EIC Institute of Electronics, Information and Communication Engineers, September 21, 1994, Shingaku Giho Vol. 94 No. 240, P59-66 Japan				
		Yasushi Kuho et al., "Information Technology System Security for Open Distributed Computing Environment", The Hitachi Hyoron, November 1994, Vol. 76, P49-52 Japan				
		Hiroyoshi Takeda, "Function and Use of Workstation" The Office Management, Nikkan Kogyo Shinbun, August 1, 1989, Vol. 28, No. 8, P8-17 Japan				
		Atsushi Iizawa, "Document Image Database System", Information Processing Society, May 15, 1992, Vol. 33, No. 5, P497-504 Japan				
Examiner Signature				Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



IFC65

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/777,845
		Filing Date	February 7, 2001
		First Named Inventor	Mark J. STEFIK, <i>et al.</i>
		Group Art Unit	3628
		Examiner Name	Frantzy Poinvil
Total Number of Pages in This Submission		Attorney Docket Number	111325-020500

ENCLOSURES *(check all that apply)*

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (<i>for an Application</i>)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Declaration and Power of Attorney	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Application Data Sheet
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Other Enclosure(s) (<i>please identify below</i>):
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	 1. PTO Form-1449 citing fourteen (14) references enclosed.
<input type="checkbox"/> Response to Missing Parts		

Remarks

The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Marc S. Kaufman, Reg. No. 35,212 NIXON Peabody LLP 401 9 th Street, N.W., Suite 900 Washington, D.C. 20004-2128
Signature	
Date	8-16-06

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

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